Practitioner's Docket No.: 791_165

PATENT

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

PCT/JP01/00872

8 February 2001

9 February 2000

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

TITLE OF INVENTION

LITHIUM SECONDARY BATTERY AND MANUFACTURING METHOD THEREOF

APPLICANT(S) FOR DO/US

Akio ENOMOTO, Kenji KAWAMURA, Kenshin KITOH and Toshihiro YOSHIDA

Box PCT

Assistant Commissioner for Patents Washington, D.C. 20231

Attention: DO/US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box PCT, Attention: DO/US, Assistant Commissioner for Patents, Washington D.C. 20231 on October 2, 2001 under "EXPRESS MAIL" mailing label number EL872580085US.

Elizabeth A. VanAntwerp

PRELIMINARY AMENDMENT

Sir:

Prior to examination, Applicants wish to amend the subject application as follows:

In the Claims:

Please rewrite claims 3-7, 11-15, 17, 18, 20, 23 and 27 as follows:

- 3. (Amended) The lithium secondary battery according to claim 1, wherein, with a difference between said R_{body} (mm) and said R_{top} (mm) being ΔR (mm), ΔR fulfills relationship of $\Delta R \leq 5$ (mm).
- 4. (Amended) The lithium secondary battery according to claim 3, wherein said R_{body} and said ΔR fulfill a relationship of $(\Delta R/R_{body}) \times 100 \le 10(\%)$.
- 5. (Amended) The lithium secondary battery according to claim 1, wherein, with said caulked portion, a deformation quantity in a press-contacting direction of said press-contacted

COUNTARN THURS

elastic body is larger than a spring-back quantity and a press-contact force applied to said elastic body is not more than a press-contact force with elasticity maintaining rate of said elastic body being not less than 95%.

- 6. (Amended) The lithium secondary battery according to claim 1, wherein said elastic body is made of any of ethylene propylene rubber, polyethylene, polypropylene and fluororesin.
- 7. (Amended) The lithium secondary battery according to claim 1, wherein said electrode cap comprises an electrolyte solution injection port.
- 11. (Amended) The lithium secondary battery according to claim 9, wherein said battery cap and said external terminal are made of Al or Al alloy.
- 12. (Amended) The lithium secondary battery according to claim 9, wherein, with a difference between said R_{body} (mm) and said R_{top} (mm) being ΔR (mm), ΔR preferably fulfills relationship of $\Delta R \leq 5$ (mm).
- 13. (Amended) The lithium secondary battery according to claim 12, wherein said R_{body} and said ΔR fulfill relationship of $(\Delta R/R_{body}) \times 100 \le 10(\%)$.
- 14. (Amended) The lithium secondary battery according to claim 8, wherein said battery case is shaped as a pipe.

- 15. (Amended) The lithium secondary battery according to claim 8, wherein entire area of said tip portions of said battery case and said electrode cap are joined by said welding.
- 17. (Amended) The lithium secondary battery according to claim 1, wherein battery capacity is 2 Ah or more.
- 18. (Amended) The lithium secondary battery according to claim 1 to be mounted on a vehicle.
- 20. (Amended) The lithium secondary battery according to claim 18 for an electric vehicle or a hybrid electric vehicle.
- 23. (Amended) The manufacturing method of a lithium secondary battery according to claim 21, wherein a caulking and/or welding method is used as a method for joining said battery case and said electrode cap.
- 27. (Amended) The manufacturing method of a lithium secondary battery according to claim 21, wherein, as said battery case, one made of aluminum or aluminum alloy is used.

Please add new claims 28 and 29 as follows:

28. (Amended) The lithium secondary battery according to claim 9, wherein said battery case is shaped as a pipe.

29. (Amended) The lithium secondary battery according to claim 9, wherein entire area of said tip portions of said battery case and said electrode cap are joined by said welding.

In the Abstract:

Please rewrite the abstract as follows:

Abstract of the Disclosure

A lithium secondary battery, includes: a cylindrical battery case (16) provided with electrode caps at both end portions thereof; an electrode body (1) impregnated with a nonaqueous electrolyte solution and contained in the battery case and including a positive electrode, a negative electrode, and a separator, the positive electrode and the negative electrode being wound or laminated through the separator; and an elastic body (23) disposed between the battery case (16) and the electrode caps with portions in which the battery case (16) contacts the elastic body (23) being brought into press-contact to form a caulked portion to seal the battery case (16). With R_{body} (mm) being the diameter of a body part of the battery case (16) and R_{top} (mm) being the diameter of the caulked portion, R_{body} and R_{top} fulfill the relationship of $R_{\text{body}} > R_{\text{top}}$.

REMARKS

Claims 1-29 are pending herein. Applicants have amended the claims to eliminate multiple dependent claims. New claims 28 and 29 have been added hereby. No new matter has been added. Applicants believe the case is now in condition for examination.

Attached hereto captioned "<u>VERSION WITH MARKINGS TO SHOW CHANGES</u>

<u>MADE</u>" is a marked-up version of the changes made to the claims and abstract by the current amendment.

If the Examiner believes that contact with applicants' attorney would be advantageous toward the disposition of this case, he is herein requested to call applicants' attorney at the phone number noted below.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

October 2, 2001 Date

Stephen P. Burr

SPB/eav

BURR & BROWN P.O. Box 7068 Syracuse, NY 13261-7068

Customer No.: 025191 Telephone: (315) 233-8300 Facsimile: (315) 233-8320

In the Claims:

Claims 3-7, 11-15, 17, 18, 20, 23 and 27 have been amended as follows:

- 3. (Amended) The lithium secondary battery according to claim 1-or 2, wherein, with a difference between said R_{body} (mm) and said R_{top} (mm) being ΔR (mm), ΔR fulfills relationship of $\Delta R \leq 5$ (mm).
- 4. (Amended) The lithium secondary battery according to any-one-of-claims-1 to 3, wherein said R_{body} and said ΔR fulfill a relationship of $(\Delta R/R_{body}) \times 100 \le 10(\%)$.
- 5. (Amended) The lithium secondary battery according to any-one of claims 1-to-4, wherein, with said caulked portion, a deformation quantity in a press-contacting direction of said press-contacted elastic body is larger than a spring-back quantity and a press-contact force applied to said elastic body is not more than a press-contact force with elasticity maintaining rate of said elastic body being not less than 95%.
- 6. (Amended) The lithium secondary battery according to any one of claims 1-to-5, wherein said elastic body is made of any of ethylene propylene rubber, polyethylene, polypropylene and fluororesin.
- 7. (Amended) The lithium secondary battery according to any-one-of-claims 1-to-6, wherein said electrode cap comprises an electrolyte solution injection port.
- 11. (Amended) The lithium secondary battery according to claim 9-or-10, wherein said battery cap and said external terminal are made of Al or Al alloy.

- 12. (Amended) The lithium secondary battery according to any one of claims 9-to-1-1, wherein, with a difference between said R_{body} (mm) and said R_{top} (mm) being ΔR (mm), ΔR preferably fulfills relationship of $\Delta R \leq 5$ (mm).
- 13. (Amended) The lithium secondary battery according to any-one of claims 9-to-12, wherein said R_{body} and said ΔR fulfill relationship of $(\Delta R/R_{body}) \times 100 \le 10(\%)$.
- 14. (Amended) The lithium secondary battery according to any-one-of-claims 8-to-13, wherein said battery case is shaped as a pipe.
- 15. (Amended) The lithium secondary battery according to any one of claims 8-to-14, wherein entire area of said tip portions of said battery case and said electrode cap are joined by said welding.
- 17. (Amended) The lithium secondary battery according to any one of claims 1-to-16, wherein battery capacity is 2 Ah or more.
- 18. (Amended) The lithium secondary battery according to any one of claims 1 to 17 to be mounted on a vehicle.
- 20. (Amended) The lithium secondary battery according to claim 18 or 19 for an electric vehicle or a hybrid electric vehicle.

- 23. (Amended) The manufacturing method of a lithium secondary battery according to claim 21-or-22, wherein a caulking and/or welding method is used as a method for joining said battery case and said electrode cap.
- 27. (Amended) The manufacturing method of a lithium secondary battery according to any one of claims 21-to 26, wherein, as said battery case, one made of aluminum or aluminum alloy is used.

In the Abstract:

The abstract has been amended as follows:

Abstract of the Disclosure

A lithium secondary battery, includes: a cylindrical battery case (16) provided with electrode caps at both end portions thereof; an electrode body (1) impregnated with a nonaqueous electrolyte solution and contained in the battery case and including a positive electrode, a negative electrode, and a separator, the positive electrode and the negative electrode being wound or laminated through the separator; and moreover, an elastic body (23) disposed between the battery case (16) and the electrode caps with portions in which the battery case (16) contacts the elastic body (23) being brought into press-contact to form a caulked portion to scal the battery case (16). With R_{body} (mm) being the diameter of a body part of the battery case (16); and R_{top} (mm) being the diameter of the caulked portion, R_{body} and R_{top} fulfill the relationship of $R_{body} > R_{top}$. Improvement in long period stability and reliability can be planned by intensifying the caulking between the battery case and the

electrode cap and by removing the caulked gap between the battery case and the electrode cap so as to suppress leakage of the electrolyte solution.